Attorney's Docket No. 034848/276723

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Filed:

For:

Bentley et al.

Appl No.: 10/813,601

March 30, 2004

Confirmation No.: 4382 Group Art Unit: 1711

Examiner:

To Be Assigned

Sterically Hindered Poly(Ethylene Glycol) Alkanoic Acids and Derivatives

Thereof

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Attached is a list of documents on form PTO-1449. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items were supplied in, or cited by the Office during prosecution of, parent Application No. 10/283,890 filed October 30, 2002. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted,

Christopher W. Humphrey Registration No. 43,683

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I hereby certify that this paper is being facsimile transmitted to the US Patent and Trademark Office at facsimile number (703) 746-4060 on the date shown below.

Tracey S. Wrigh

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Complete if Known Substitute for form 1449A/PTO 10/813,601 Application Number INFORMATION March 30, 2004 DISCLOSURE Filing Date Bentley et al. First Named Inventor STATEMENT BY APPLICANT Ĭ711 Group Art Unit (Use as many sheets as necessary) To Be Assigned Examinor Name 034848/276723 Attorney Docket Number Of Sheet U. S. PATENT DOCUMENTS ि भारती Pages, Columns, Lines. U.S. Patent Document Where Relevant Passagus of Kind Code¹ Name of Palentee or Applicant Publication of Examiner Circ Relevant Figures Appear Of Cited Document Cited Document Number (if known) No.1 Initials* MM-DD-YYYY 03-25-1997 Greenwald et al. 5,614,549 Harris et al-09-30-1997 2 5,672,662 Greenwald et al. 11-24-1998 5,840,900 3 Bentley et al. 12-17-2002 4 6,495,659 Bentley et al. 05-18-2004 5 6,737,505

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|----------------------------------------|-------------|---------------------------------------------------------------------------------------------------|--------------|-------|----------------------------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------|----|
| Examiner Initiots | Cite No. | Foreign Patent Document Kind Code Office ³ Number ⁴ (if known) ⁵ | | | Name of Patentes or Applicant of Cited Document | Date of Publication of Cited Decument MM-DD-YYYY | Pages, Columbs, Lines, Where Relevant Passages or Relevant Physics Appear | Ju |
| - | 6 | wo | 97/03106 | AI | Shearwater Polymers, Inc. | 01-30-1997 | | |
| | 7 | wo | 99/45964 | Al | Shearwater Polymers, | 09-16-1999 | | |
| | 8 | 115 | 63097606 | Αl | Higashimura Toshinobu | 04-28-1988 | Abstract | |
| Examiner | | • | | | | Date Considered | | .1 |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.

³ See attached Kinds of IJ.S. Patent Documents.

³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent decuments, the indication of the year of the reign of the Emporor must precede the serial number of the patent document.

Kind of decument by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

TEL: 9198622260

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NON PATENT LITERATURE DOCUMENTS include name of the author (in CAPITAL LETTERS), tide of the article (when appropriate), tide of the item (bank, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, Cite Examiner city and/or enuntry where published. Initials No. TOPCHIYEVA, I.N., "Synthesis of Biologically Active Polyothylene Clycul Derivatives. A Review", 9 Polymer Science U.S.S.R., 1990, pp. 833-851, Vol. 32, No. 5. FRADET, ET AL., "Synthesis of Monocorpoxylic Polyuxyethylenes", Polymer Bulletin, February 1981, pp. า้อ 205-210, Vnl. 4., No. 4. Examiner Date Signature Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. RTA 2162744v1